Package 'Phase123'

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Description Contains three simulation functions for implementing the entire Phase 123 trial and the separate Eff-Tox and Phase 3 portions of the trial, which may be beneficial for use on clusters. The functions AssignEffTox() and RandomizeEffTox() assign doses to patient cohorts during phase 12 and Reoptimize() determines the optimal dose to continue with during Phase 3. The functions ReturnMeansAgent() and ReturnMean-Control() gives the true mean survival for the agent doses and control and ReturnOCS() gives the operating characteristics of the design.

License GPL-2

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AssignEffTox

Determines the optimal dose to assign the next patient cohort.

Description

This function returns the optimal acceptable dose number to assign the next patient cohort or stops the trial if no dose is deemed acceptable.

Usage

```
AssignEffTox(YE, YT, Doses, Dose, DosesTried, Hypermeans, Hypervars,
Contour, PiLim, ProbLim, B)
```

YE	Vector containing observed efficacy indicators.
YT	Vector containing observed toxicity indicators.
Doses	Vector containing numbered Doses of patients in trial.
Dose	Vector containing the standardized doses considered.
DosesTried	Binary vector corresponding to which doses have been tried.
Hypermeans	Vector containing prior hypermeans of length 6 for Eff-Tox parameters.
Hypervars	Vector containing prior hypervariances of length 6 for Eff-Tox parameters.
Contour	Vector containing 4 entries used to make the desireability function. Contour[1] contains a desired toxicity probability given efficacy, Countour[2] contains a desired efficacy probability given toxicity, and (Contour[3],Contour[4]) is an equally desireable pair of efficacy and toxicity probabilities that are non-zero or one.
PiLim	Vector of length two with PiLim[1] containing the acceptable lower limit on effi- cacy probability and PiLim[2] containing the acceptable upper limit on toxicity probability.
ProbLim	Vector of length two with ProbLim[1] containing the probability cutoff for acceptable efficacy probability and ProbLim[2] containing the probability cutoff for acceptable toxicity probability.
В	Number of iterations to perform in the MCMC.

EFFTOX

Value

The optimal dose level to administer the next patient cohort.

Examples

```
##Doses, YE,YT
Doses= c(1,1,1,2,2,2,1,1,1,3,3,3,1,1,1,2,2,2)
YE = c(0,0,1,1,1,0,0,0,0,1,1,1,0,0,1,1,1,0)
YT=c(0,0,0,1,1,0,1,0,0,1,1,1,0,0,0,1,0,0)
##Vector of Numerical Doses
Dose = c(1, 2, 3, 3.5, 5)
Dose=(Dose-mean(Dose))/sd(Dose)
##Five doses, but only 3 tried so we have
DosesTried=c(1,1,1,0,0)
## Contour Vector
Contour = c(.35, .75, .7, .4)
##Hypermeans
Hypermeans = c(.022, 3.45, 0, -4.23, 3.1, 0)
Hypervars = c(2.6761, 2.6852, .2, 3.1304, 3.1165, 1)
Hypervars=Hypervars^2
##Acceptability Criteria
PiLim = c(.3, .4)
ProbLim=c(.1,.1)
##Number of iterations
B=2000
AssignEffTox(YE,YT, Doses, Dose, DosesTried, Hypermeans, Hypervars, Contour, PiLim, ProbLim, B)
```

EFFTOX

Obtains estimated posterior probabilities of the four outcomes of (YE,YT) for each dose.

Description

This function is used in Reoptimize, SimPhase123 and SimPhase3, here we estimate the mixture probabilities over the four outcomes for efficacy and toxicity.

Usage

EFFTOX(YE, YT, Doses, Dose, Hypermeans, Hypervars, B)

YE	Vector containing observed efficacy indicators.
ΥT	Vector containing observed toxicity indicators.
Doses	Vector containing Standardized doses of patients in trial.
Dose	Vector containing the standardized doses considered.
Hypermeans	Vector containing prior hypermeans of length 6 for Eff-Tox parameters.
Hypervars	Vector containing prior hypervariances of length 6 for Eff-Tox parameters.
В	Number of iterations to perform in the MCMC.

Value

The posterior probability matrix for the events (YE,YT) in each row corresponding to a dose level.

Examples

```
##Doses, YE,YT
Doses= c(1,1,1,2,2,2,1,1,1,3,3,3,1,1,1,2,2,2)
YE = c(0,0,1,1,1,0,0,0,0,1,1,1,0,0,1,1,1,0)
YT=c(0,0,0,1,1,0,1,0,0,1,1,1,0,0,0,1,0,0)
##Vector of Numerical Doses
Dose = c(1,2,3,3.5,5)
Dose=(Dose-mean(Dose))/sd(Dose)
Doses=Dose[Doses]
##Hypermeans
Hypermeans = c(.022,3.45,0,-4.23,3.1,0)
Hypervars = c(2.6761, 2.6852, .2, 3.1304, 3.1165, 1)
Hypervars=Hypervars^2
##Number of iterations
B=2000
EFFTOX(YE,YT, Doses, Dose, Hypermeans, Hypervars, B )
```

PieceMCMC

Returns posterior distribution for key mixture model parameters

Description

This function performs MCMC with Metropolis-Hastings-Green steps for the baseline hazard function and is used in the functions Reoptimize, SimPhase123 and SimPhase3.

Usage

PieceMCMC(Y, I, YE, YT, Doses, Dose, B, prob, MaxObs)

Υ	Patient survival or followup times.
I	Patient event indicators.
YE	Vector of indicators for patient efficacy.
ΥT	Vector of indicators for patient toxicity.
Doses	Vector of standardized doses given to patients.
Dose	Vector of standardized doses considered in trial.
В	Number of iterations to perform in MCMC.
prob	length(Doses) X 4 matrix containing the estimated posterior probabilities for each dose and each (Efficacy, Toxicity) outcomes.
MaxObs	length(Doses) X 4 matrix containing the maximum observed survival time we want to evaluate the means to.

RandomEffTox

Value

Returns a list containing a matrix of posterior means for each dose, regression coefficients in the cox models, locations of the split points, log hazard heights on each interval, and the number of intervals in the baseline hazard.

Examples

```
n=100
Y=rexp(n,1)
I = rbinom(n,1,.9)
YE = rbinom(n,1,.5)
YT = rbinom(n,1,.5)
Dose = c(1,2,3,3.5,5)
Dose=(Dose-mean(Dose))/sd(Dose)
Doses = sample(1:5,n,replace=TRUE)
Doses=Dose[Doses]
B=2000
MaxObs = matrix(rep(0,length(Dose)*4),nrow=4)
prob=matrix(rep(0,length(Dose)*4),ncol=4)
prob=prob+1/4
MaxObs=MaxObs+max(Y)
G=PieceMCMC(Y,I,YE,YT,Doses,Dose,B,prob,MaxObs)
```

RandomEffTox	Randomizes	Eff-Tox	dose	proportional	to	posterior	desireability	
	scores.							

Description

This function returns a random acceptable dose number to assign the next patient cohort or stops the trial if no dose is deemed acceptable.

Usage

```
RandomEffTox(YE, YT, Doses, Dose, DosesTried, Hypermeans, Hypervars,
Contour, PiLim, ProbLim, B)
```

YE	Vector containing observed efficacy indicators.
YT	Vector containing observed toxicity indicators.
Doses	Vector containing numbered Doses of patients in trial.
Dose	Vector containing the standardized doses considered.
DosesTried	Binary vector corresponding to which doses have been tried.
Hypermeans	Vector containing prior hypermeans of length 6 for Eff-Tox parameters.
Hypervars	Vector containing prior hypervariances of length 6 for Eff-Tox parameters.

Contour	Vector containing 4 entries used to make the desireability function. Contour[1] contains a desired toxicity probability given efficacy, Countour[2] contains a desired efficacy probability given toxicity, and (Contour[3],Contour[4]) is an equally desireable pair of efficacy and toxicity probabilities that are non-zero or one.
PiLim	Vector of length two with PiLim[1] containing the acceptable lower limit on effi- cacy probability and PiLim[2] containing the acceptable upper limit on toxicity probability.
ProbLim	Vector of length two with ProbLim[1] containing the probability cutoff for acceptable efficacy probability and ProbLim[2] containing the probability cutoff for acceptable toxicity probability.
В	Number of iterations to perform in the MCMC.

Value

A random dose level to administer the next patient cohort.

Examples

```
##Doses, YE,YT
Doses= c(1,1,1,2,2,2,1,1,1,3,3,3,1,1,1,2,2,2)
YE = c(0,0,1,1,1,0,0,0,0,1,1,1,0,0,1,1,1,0)
YT=c(0,0,0,1,1,0,1,0,0,1,1,1,0,0,0,1,0,0)
##Vector of Numerical Doses
Dose = c(1,2,3,3.5,5)
Dose=(Dose-mean(Dose))/sd(Dose)
##Five doses, but only 3 tried so we have
DosesTried=c(1,1,1,0,0)
## Contour Vector
Contour = c(.35, .75, .7, .4)
##Hypermeans
Hypermeans = c(.022, 3.45, 0, -4.23, 3.1, 0)
Hypervars = c(2.6761, 2.6852, .2, 3.1304, 3.1165, 1)
Hypervars=Hypervars^2
##Acceptability Criteria
PiLim = c(.3, .4)
ProbLim=c(.1,.1)
##Number of iterations
B=2000
RandomEffTox(YE,YT, Doses, Dose, DosesTried, Hypermeans, Hypervars, Contour, PiLim, ProbLim, B)
```

```
Reoptimize
```

Gives the Optimal Dose for enrolling next patient cohort.

Description

This function returns the optimal dose number to assign the next patient cohort or stops the trial if no dose is deemed acceptable.

Reoptimize

Usage

Reoptimize(Y, I, YE, YT, Doses, Dose, Hypermeans, Hypervars, B)

Arguments

Υ	Vector containing observed patient survival or follow up times.
I	Vector indicating whether each patient experienced an exent.
YE	Vector containing observed efficacy indicators.
YT	Vector containing observed toxicity indicators.
Doses	Vector containing standardized doses of patients in trial.
Dose	Vector containing the standardized doses considered.
Hypermeans	Vector containing prior hypermeans of length 6 for Eff-Tox parameters.
Hypervars	Vector containing prior hypervariances of length 6 for Eff-Tox parameters.
В	Number of iterations to perform in the MCMC.

References

[1] Chapple and Thall (2018). A Hybrid Phase I-II/III Clinical Trial Design Allowing Dose Re-Optimization in Phase III. Biometrics. In Press,

```
##Doses, YE,YT
Doses= c(1,1,1,2,2,2,1,1,1,3,3,3,1,1,1,2,2,2)
YE = c(0,0,1,1,1,0,0,0,0,1,1,1,0,0,1,1,1,0)
YT=c(0,0,0,1,1,0,1,0,0,1,1,1,0,0,0,1,0,0)
Y=rexp(length(YE))
I=rbinom(length(YE),1,.9)
##Vector of Numerical Doses
Dose = c(1,2,3,3.5,5)
Dose=(Dose-mean(Dose))/sd(Dose)
##Hypermeans for Eff-Tox
Hypermeans = c(.022, 3.45, 0, -4.23, 3.1, 0)
Hypervars = c(2.6761, 2.6852, .2, 3.1304, 3.1165, 1)
Hypervars=Hypervars<sup>2</sup>
###Number of iterations
B=20000
Reoptimize(Y,I,YE,YT, Doses, Dose, Hypermeans, Hypervars,B)
```

Reoptimize1

Gives the Optimal Dose for enrolling next patient cohort. Used in the SimPhase123 function.

Description

This function returns the optimal dose number to assign the next patient cohort or stops the trial if no dose is deemed acceptable.

Usage

Reoptimize1(Y, I, YE, YT, Doses, Dose, Hypermeans, Hypervars, B)

Arguments

Y	Vector containing observed patient survival or follow up times.
I	Vector indicating whether each patient experienced an exent.
YE	Vector containing observed efficacy indicators.
ΥT	Vector containing observed toxicity indicators.
Doses	Vector containing standardized doses of patients in trial.
Dose	Vector containing the standardized doses considered.
Hypermeans	Vector containing prior hypermeans of length 6 for Eff-Tox parameters.
Hypervars	Vector containing prior hypervariances of length 6 for Eff-Tox parameters.
В	Number of iterations to perform in the MCMC.

References

[1] Chapple and Thall (2018). A Hybrid Phase I-II/III Clinical Trial Design Allowing Dose Re-Optimization in Phase III. Biometrics. In Press,

```
##Doses, YE,YT
Doses= c(1,1,1,2,2,2,1,1,1,3,3,3,1,1,1,2,2,2)
YE = c(0,0,1,1,1,0,0,0,0,1,1,1,0,0,1,1,1,0)
YT=c(0,0,0,1,1,0,1,0,0,1,1,1,0,0,0,1,0,0)
Y=rexp(length(YE))
I=rbinom(length(YE),1,.9)
##Vector of Numerical Doses
Dose = c(1,2,3,3.5,5)
Dose=(Dose-mean(Dose))/sd(Dose)
##Hypermeans for Eff-Tox
Hypermeans = c(.022, 3.45, 0, -4.23, 3.1, 0)
Hypervars = c(2.6761, 2.6852, .2, 3.1304, 3.1165, 1)
Hypervars=Hypervars^2
###Number of iterations
B=20000
Reoptimize1(Y,I,YE,YT, Doses, Dose, Hypermeans, Hypervars,B)
```

ReturnMeanControl *Gives true mean survival times for the control therapy.*

Description

Returns the mean survival times for the control given efficacy and toxicity dose probability vector, distribution family and linear relationship, effiacy, toxicity and survival.

Usage

ReturnMeanControl(ProbC, betaC, Family, alpha)

Arguments

ProbC	Probability of efficacy and toxicity for the control therapy.
betaC	Linear term for efficacy, toxicity and beta_0 for the control groupar term for efficacy, toxicity and beta_0 for the control group.
Family	Time to event distribution. Options include: Exponential, Gamma, Weibull, Lognormal.
alpha	Shape parameter or standard deviation of a lognormal distribution.

References

[1] Chapple and Thall (2018). A Hybrid Phase I-II/III Clinical Trial Design Allowing Dose Re-Optimization in Phase III. Biometrics. In Press,

```
###Family of Distributions
Family="Gamma"
###Shape parameter
alpha=2
##True beta vector for efficacy, toxicity and intercept of the control treatment
betaC=c(.3,-.25,2.389)
##True efficacy and toxicity probability for control group
ProbC = c(.4,.15)
ReturnMeanControl(ProbC,betaC,Family,alpha)
```

ReturnMeansAgent

Gives true mean survival times for doses considered of the experimental agent.

Description

Returns the dose specific mean survival times for given efficacy and toxicity dose probability vector, distribution family and linear relationship between dose, effiacy, toxicity and survival.

Usage

ReturnMeansAgent(PE, PT, beta, Dose, Family, alpha)

Arguments

PE	True efficacy dose-toxicity vector.
PT	True toxicity dose-toxicity vector.
beta	True linear term for the rate or mean parameter
Dose	Vector of standardized doses considered in the trial.
Family	Time to event distribution. Options include: Exponential, Gamma, Weibull, Lognormal.
alpha	Shape parameter or standard deviation of a lognormal distribution.

References

[1] Chapple and Thall (2018). A Hybrid Phase 12/3 Clinical Trial Design Allowing Dose Re-Optimization in Phase 3 Biometrics. Under Review.

```
##True Efficacy and Toxicity Probabilities
PT = c(.1,.15,.25,.35,.5)
PE=c(.2,.4,.6,.65,.7)
##Dose Levels considered
Dose = c(1,2,3,3.5,5)
Dose=(Dose-mean(Dose))/sd(Dose)
###Family of Distributions
Family="Gamma"
###Shape parameter ## Doesn't matter for exponential distribution
alpha=2
###True Beta vector
beta = c(.75,-.5, .3, -.25,2.143)
ReturnMeansAgent(PE,PT,beta,Dose,Family,alpha)
```

ReturnOCS

Description

Returns the probability of selecting the optimal dose, type I error, generalized power, probability of making the best decision, average number of patients treated and average trial duration.

Usage

ReturnOCS(Results, Means, CMu, Delta, Hyp)

Arguments

Results	List containing phase 123 and conventional design results.
Means	True mean survival times for experimental agents at each dose.
CMu	Mean survival time for the control therapy.
Delta	Desired improvement in survival.
Нур	Null=0 or alternative=1 hypthesis

References

[1] Chapple and Thall (2018). A Hybrid Phase 12/3 Clinical Trial Design Allowing Dose-Re-Optimization in Phase 3 Biometrics. Under Review.

```
##True Mean Control
CMu=24
##True Means Agent
Means = c(27, 32, 38, 42, 28)
##Desired improvement in mean survival
Delta=12
##Random Trial results
Results=as.list(c(0,0))
nSims=5
X=matrix(rep(NA,nSims*4),nrow=nSims)
##DoseSelected
X[,1]=c(2,3,4,4,3)
X[,2]=c(0,1,1,1,1)
X[,3]=c(270,500,500,420,400)
X[,4]=c(70,85,88,70,88)
Results[[1]]=X
X[,1]=c(2,3,5,4,2)
X[,2]=c(0,1,0,1,0)
X[,3]=c(270,500,450,420,415)
X[,4]=c(70,82,80,70,79)
Results[[2]]=X
```

Hyp=1 ReturnOCS(Results,Means,CMu,Delta,Hyp)

RunAdaptiveEffToxTrial

Simulates repitions of an Adaptive Eff-Tox Trial.

Description

This function simulates repititions of an adaptive Eff-Tox Trial and returns a list containing the optimal dose chosen

Usage

```
RunAdaptiveEffToxTrial(DoseStart, Dose, Hypermeans, Hypervars, Contour,
PiLim, ProbLim, cohort, NET, NF, B, nSims, PETrue, PTTrue)
```

DoseStart	Dose to start enrolling cohorts of patients at.
Dose	Vector containing the standardized doses considered.
Hypermeans	Vector containing prior hypermeans of length 6 for Eff-Tox parameters.
Hypervars	Vector containing prior hypervariances of length 6 for Eff-Tox parameters.
Contour	Vector containing 4 entries used to make the desireability function. Contour[1] contains a desired toxicity probability given efficacy, Countour[2] contains a desired efficacy probability given toxicity, and (Contour[3],Contour[4]) is an equally desireable pair of efficacy and toxicity probabilities that are non-zero or one.
PiLim	Vector of length two with PiLim[1] containing the acceptable lower limit on effi- cacy probability and PiLim[2] containing the acceptable upper limit on toxicity probability.
ProbLim	Vector of length two with ProbLim[1] containing the probability cutoff for acceptable efficacy probability and ProbLim[2] containing the probability cutoff for acceptable toxicity probability.
cohort	Size of each patient cohort.
NET	Maximum sample size for phase I/II.
NF	Number of patients to assign optimal doses prior to adaptive randomization.
В	Number of iterations to perform in the MCMC.
nSims	Number of simulated trials to run.
PETrue	True vector of efficacy probabilities for each dose.
PTTrue	True vector of toxicity probabilities for each dose.

SimPhase123

Value

List containing the vector of optimal doses chosen, a matrix of posterior desireability scores for each trial, and a matrix consisting of patient dose assignments and Toxicity and Efficacy indicators, with each Nmax rows corresponding to a separate trial. Trials that are stopped due to excessive toxicity probability or small efficacy probabilities are not included in the final results.

Examples

```
##Doses, YE,YT
##Starting Dose
DoseStart=1
##Vector of Numerical Doses
Dose = c(1,2,3,3.5,5)
Dose=(Dose-mean(Dose))/sd(Dose)
## Contour Vector
Contour = c(.35, .75, .7, .4)
##Hypermeans
Hypermeans = c(.022, 3.45, 0, -4.23, 3.1, 0)
Hypervars = c(2.6761, 2.6852, .2, 3.1304, 3.1165, 1)
Hypervars=Hypervars^2
##Acceptability Criteria
PiLim = c(.3, .4)
ProbLim=c(.1,.1)
##Cohort Size, N^F and N_ET
cohort=3
NF=15
NET=30
PTTrue = c(.1,.15,.25,.35,.5)
PETrue=c(.2,.4,.6,.65,.7)
##Number of iterations for MCMC
B=2000
### Number of Simulations
nSims=1
RunAdaptiveEffToxTrial(DoseStart,Dose, Hypermeans, Hypervars,
Contour, PiLim, ProbLim, cohort, NET, NF, B, nSims, PETrue, PTTrue )
```

SimPhase123

Simulates replications of the phase123 and phase 12-3 trials.

Description

This function simulates replications of the phase 123 and phase 12-3 trials and returns a list containing the doses chosen, decisions made (1=A(x) better, 0= futility, -1=C better)

Usage

SimPhase123(DoseStart, Dose, PE, PT, Hypermeans, Hypervars, Contour, PiLim, ProbLim, NET, NF, Accrue12, Time12, cohort, betaA, ProbC, betaC, Family, alpha, Nmax, Accrue, Twait, NLookSwitch, NLook, Sup, Fut, nSims)

DoseStart	Starting dose of the phase 12 trial.
Dose	Vector of standardized doses considered in the trial.
PE	True efficacy dose-toxicity vector.
PT	True toxicity dose-toxicity vector.
Hypermeans	Prior Means for the Eff-Tox design of length 6.
Hypervars	Prior Variances for the Eff-Tox design of length 6.
Contour	Vector containing 4 entries used to make the desireability function. Contour[1] contains a desired toxicity probability given efficacy, Countour[2] contains a desired efficacy probability given toxicity, and (Contour[3],Contour[4]) is an equally desireable pair of efficacy and toxicity probabilities that are non-zero or one.
PiLim	Vector of length two with PiLim[1] containing the acceptable lower limit on effi- cacy probability and PiLim[2] containing the acceptable upper limit on toxicity probability.
ProbLim	Vector of length two with ProbLim[1] containing the probability cutoff for ac- ceptable efficacy probability and ProbLim[2] containing the probability cutoff for acceptable toxicity probability.
NET	Maximum sample size of the phase 12 trial.
NF	Number of patients to assign deterministic doses prior to adaptive randomiza- tion.
Accrue12	Accrual rate for patients in the phase 12 portion of the trial.
Time12	Time window for phase 12.
cohort	Size of each patient cohort.
betaA	True linear term for the rate or mean parameter (beta_1,exp(beta_E),-exp(beta_T),beta_2,beta_0) for agent A.
ProbC	Probability of efficacy and toxicity for the control therapy.
betaC	Linear term for efficacy, toxicity and beta_0 for the control groupar term for efficacy, toxicity and beta_0 for the control group.
Family	Time to event distribution. Options include: Exponential, Gamma, Weibull, Lognormal.
alpha	Shape parameter or standard deviation of a lognormal distribution.
Nmax	Maximum number of patients to enroll in phase 3.
Accrue	Accrual rate for patients in the phase 3 portion of the trial.
Twait	Waiting time in between phase 12 and phase 3.
NLookSwitch	Number of patient events to determine if we re-optimize doses for A.
NLook	Vector of information criteria for making interim looks.
Sup	Vector of superiority boundaries.
Fut	Vector of futility boundaries.
nSims	Number of simulations to run for the phase 123 and conventional design.

SimPhase123

References

[1] Chapple and Thall (2018). A Hybrid Phase I-II/III Clinical Trial Design Allowing Dose Re-Optimization in Phase III. Biometrics. In Press,

```
##We need to specify Phase 12,
###Phase 3 trial paramters,
##the additional phase 123 parameters and simulation parameters
#This is scenario 3 for the exponetial case
##the additional phase 123 parameters and simulation parameters
DoseStart=1
##True Efficacy and Toxicity Probabilities
PT = c(.05, .08, .1, .15, .2)
PE=c(.2,.25,.35,.4,.55)
##Raw Dose Levels considered
Dose = c(1,2,3,3.5,5)
#Max Sample Size
NET=30
##Number of patients before randomization
NF=15
##Cohort size
cohort=3
##Hypermeans for Eff-Tox
Hypermeans = c(.022, 3.45, 0, -4.23, 3.1, 0)
Hypervars = c(2.6761, 2.6852, .2, 3.1304, 3.1165, 1)
Hypervars=Hypervars^2
##Contour Vector
Contour = c(.35, .75, .7, .4)
##Acceptability Criteria
PiLim = c(.3, .4)
ProbLim=c(.1,.1)
##Phase 12 accrual rate
Accrue12=5
###How long is the time window in phase 12?
Time12=1
Nmax=500
##Number of patient events for interim looks
NLook = c(200, 300, 400)
##Superiority Boundaries
Sup = c(2.96, 2.53, 1.99)
##Futility Boundaries (0 means no futility decision)
Fut = c(0, 1.001, 0)
##Average accrual rate for phase III
Accrue = 10
###Number of patient events to re-optimize doses
NLookSwitch=50
##Time in between phase 12 and phase 3
Twait=1
```

```
#########Simulation Parameters######
###Family of Distributions
Family="Gamma"
###Shape parameter, Not needed for Exponential
alpha=1
###True Beta vector (beta_1,exp(beta_E),-exp(beta_T),beta_2,beta_0)
betaA = c(.1, .3, -1, -1, 3.6)
##True beta vector for (exp(beta_E),-exp(beta_T),beta_C) of the control treatment
betaC=c(.3,-1,log(24/1.035111))
##True efficacy and toxicity probability for control group
ProbC = c(.3, .1)
##Number of simulations to run
nSims=1
##Run Simulations
Results=SimPhase123(DoseStart,Dose,PE,PT,Hypermeans,Hypervars,Contour,
                 PiLim, ProbLim, NET, NF, Accrue12, Time12, cohort, betaA, ProbC, betaC,
                Family,alpha,Nmax,Accrue,Twait,NLookSwitch,NLook,Sup,Fut,nSims)
```

```
SimPhase3
```

Performs one replication of phase 3 for the phase 123 design, given phase 12 data.

Description

This function simulates the phase 3 potion of the phase 123 trial, given phase 12 outcomes.

Usage

```
SimPhase3(Dose, Phase12, PE, PT, Hypermeans, Hypervars, betaA, ProbC,
betaC, Family, alpha, Nmax, Opt, Accrue, Time12, Twait, NLookSwitch,
NLook, Sup, Fut)
```

Dose	Vector of standardized doses considered in the trial.
Phase12	Matrix Consisting of patient data from a phase 12 trial. The columns are in order: Doses given, YE, YT, Accrual Times
PE	True efficacy dose-toxicity vector.
РТ	True toxicity dose-toxicity vector.
Hypermeans	Prior Means for the Eff-Tox design of length 6.
Hypervars	Prior Variances for the Eff-Tox design of length 6.
betaA	True linear term for the rate or mean parameter (beta_1,exp(beta_E),-exp(beta_T),beta_2,beta_0) for agent A.
ProbC	Probability of efficacy and toxicity for the control therapy.
betaC	Linear term for efficacy, toxicity and beta_0 for the control group.

SimPhase3

Family	Time to event distribution. Options include: Exponential, Gamma, Weibull, Lognormal.
alpha	Shape parameter or standard deviation of a lognormal distribution.
Nmax	Maximum number of patients to enroll in phase 3.
Opt	Dose used for A to begin randomization in phase 3.
Accrue	Accrual rate for patients in phase 3.
Time12	Time window for phase 12.
Twait	Waiting time in between phase 12 and phase 3.
NLookSwitch	Number of patient events to determine if we re-optimize doses for A.
NLook	Vector of information criteria for making interim looks.
Sup	Vector of superiority boundaries.
Fut	Vector of futility boundaries.

References

[1] Chapple and Thall (2018). A Hybrid Phase I-II/III Clinical Trial Design Allowing Dose Re-Optimization in Phase III. Biometrics. In Press,

```
library(survival)
##True Efficacy and Toxicity Probabilities
PT = c(.1, .15, .25, .35, .5)
PE=c(.2,.4,.6,.65,.7)
##Dose Levels considered
Dose = c(1,2,3,3.5,5)
Dose=(Dose-mean(Dose))/sd(Dose)
##Average accrual rate for phase III
Accrue = 10
#'##Hypermeans for Eff-Tox
Hypermeans = c(.022, 3.45, 0, -4.23, 3.1, 0)
Hypervars = c(2.6761, 2.6852, .2, 3.1304, 3.1165, 1)
Hypervars=Hypervars^2
Contour = c(.35, .75, .7, .4)
PiLim = c(.3, .4)
ProbLim=c(.1,.1)
###Family of Distributions
Family="Exponential"
###Shape parameter ## Doesn't matter for exponential distribution
alpha=1
###True Beta vector
betaA = c(.75, -.5, .3, -.25, 2.143)
##True beta vector for efficacy, toxicity and intercept of the control treatment
betaC=c(.3,-.25,2.389)
##True efficacy and toxicity probability for control group
ProbC = c(.4, .15)
##Waiting time in between
Twait=1
```

```
###How long is the time window in phase 12?
Time12=1
##Dose to start phase 3 with
Opt=3
##Make matrix with old phase 12 data
Doses= c(1,1,1,2,2,2,1,1,1,3,3,3,1,1,1,2,2,2)
YE = c(0,0,1,1,1,0,0,0,0,1,1,1,0,0,1,1,1,0)
YT=c(0,0,0,1,1,0,1,0,0,1,1,1,0,0,0,1,0,0)
##Accrual Times for old data
Accrue12=2
##Size of phase 12 cohort
cohort=3
ACC1=cumsum(rexp(length(YT),Accrue12))
##Accrual times are the same for each cohort in phase 12
Grab = rep(NA,length(YT)/cohort)
for(m in 1:length(Grab)){Grab[m]=ACC1[m*3]}
for(m in 1:length(Grab)){ACC1[((m-1)*cohort+1):((m-1)*cohort+cohort)]=rep(Grab[m],cohort)}
Phase12 = cbind(Doses,YE,YT,ACC1)
betaC=c(.3,-.25,2.389)
##True efficacy and toxicity probability for control group
ProbC = c(.4, .15)
##Max Sample Size
Nmax=500
###Number of patient events to Re-optimize doses
NLookSwitch = 50
##Number of patient events for interim looks
NLook = c(200, 300, 400)
##Superiority Boundaries
Sup = c(2.96, 2.53, 1.99)
##Futility Boundaries (0 means no futility decision)
Fut = c(0, 1.001, 0)
##Starting Dose, hat(x)_ET
Opt=3
##Number of simulations to run
nSims=10
SimPhase3(Dose,Phase12,PE,PT,Hypermeans,Hypervars,betaA,
ProbC,betaC,Family,alpha,Nmax,Opt,Accrue,
Time12,Twait,NLookSwitch,NLook,Sup,Fut)
```

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